

Regulation of Investigatory Powers Act 2000 (RIPA)

Date	Auth No.	Type of Investigation	Prosecution Details
Covert Human Intelligence Source (CHIS)			
26 th June 2011	TSL/CHIS/6	Trade Marks Act 1994 and The Consumer Protection from Unfair Trading Regulations 2008 – Authorisation requested to enable source to make friends with the face booker suspected of selling counterfeit clothing. Source required to make friends with the seller so that a test purchase can be carried out to verify that the goods advertised for sale are counterfeit.	Formal Caution & Undertakings to cease conduct
25 October 2011	TSL/CHIS/7	Trade Marks Act 1994 – Subject is advertising counterfeit clothing via facebook page. Officer required to ‘make friends’ on facebook and test purchase items to secure evidence and identify the subject.	Formal Caution and voluntary surrender of goods seized.
25 October 2011	TSL/CHIS/8	Trade Marks Act 1994 – Subject is advertising counterfeit clothing via facebook page. Officer required to ‘make friends’ on facebook and test purchase items to secure evidence and identify the subject.	Written Warning and voluntary surrender of goods seized.
9 th December 2011	TSL/CHIS/9	Trade Marks Act 1994 – Subject is advertising counterfeit clothing via facebook page. Officer required to ‘make friends’ on facebook and test purchase items to secure evidence and identify the subject.	Formal Caution and Undertakings to cease conduct – Forfeiture of all counterfeit goods seized.

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Communications requests			
10 th May 2011	TELA/076	The Cancellation of Contracts Made In a Consumers Home or Place of Work etc Regulations 2008 - Defendant failed to give Business Names information as required by the Business Names Act 1985 and failed to provide the consumer with a notice of their right their right to cancel. In addition the work has not been completed and the roof is in a worse state than prior to work starting	Successful prosecution and Compensation Order awarded to complainant.
20 th May 2011	TELA/080	The Consumer Protection from Unfair Trading Regulations 2008 - T is suspected of posing as a private seller of cars when in fact eBay information suggests that a high volume of cars have been sold by T	Matter on-going attempts made by Cleveland Police to arrest but have failed to locate current address of T, enquiries on-going with DWP.
12 July 2011	TELA/081	The Consumer Protection from Unfair Trading Regulations 2008/The Cancellation of Contracts Made in a Consumers Home or Place of Work etc Regulations 2008 and the Companies Act 2006. T has carried out roofing work on consumers home, work is done to a very poor standard and consumers can no longer contact T. T has failed to provide a notice of the right to cancel and provide information so that the consumer can contact T. Subscriber and account information requested.	No prosecution due to insufficient evidence unable to identify trader
15 th February 2012	LWI/001	Malicious Communications Act 1988 - Allegation supported by mobile phone evidence that defendant is causing undue harassment with the intention to cause harm or distress to the receiver.	Appropriate disciplinary action taken by employer

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Directed Surveillance			
9 th March 2011	TSL/DS/024	Application made under Section 1 of the Theft Act 1968 – following reports of 27 incidents of theft of money from a desk drawer a request was authorised to the use of a covert camera to be placed in the office to enable the subject to be identified, this application was authorised for a 3 month period and expired on the 8 th June 2011 where no further incidents were recorded, the application was re-opened on the 8 th June 2011 and expired on the 8 th September 2011 as it would appear that the subject is no longer targeting the office in question and no further incidents have been recorded or reported.	No Prosecution
7 th April 2011	TSL/DS/025	Children and Young Persons Act 1933 - Section 7 Prohibition on the supply of cigarettes to under 18's. Children and Young Persons Act 1991. Intelligence received regarding the sale of cigarettes to children in school uniform, directed surveillance programmed to monitor premises during the hours specified in the intelligence and verify if sales are being carried out. Monitoring to take place outside the premises, during school lunch break period.	Prosecution of retailer and formal caution to seller
18 th May 2011	TSL/DS/026	Children and Young Persons Act 1933 and The Children and Young Persons (Protection from Tobacco) Act 1991 - prohibition of the supply of tobacco products to persons under 18. Following recent positive test purchases authorisation required to send volunteers in using the covert camera over a period of four weeks to ascertain if under-age sales are carried out, the action is intended to verify whether sales have ceased and whether the correct	Prosecution of retailer and undertakings to cease conduct

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19 th May 2011	TSL/DS/027	<p>procedures are followed in respect of age-restricted sales.</p> <p>Children and Young Persons Act 1933 and The Children and Young Persons (Protection from Tobacco) Act 1991 - prohibition of the supply of tobacco products to persons under 18. Following recent surveillance collating evidence of under-age sales of cigarettes, application for permission to send volunteers in shop alone with a covert camera</p>	Prosecution of retailer and formal caution to seller linked to TSL/DS/025
9 th June 2011	TSL/DS/028	Licensing Act 2003 - Following the under-age sale of alcohol on the 19th May 2011 a second test purchase attempt using the covert camera is required	Retailer placed conditions on own licence and signed up to action plan
01 December 2011	EHU/SWO/001751	Monitoring noise nuisance for compliance with a noise abatement notice.	Ongoing
11 th January 2012	TSL/DS/029	Children and Young Persons Act 1933 and The Children and Young Persons (Protection from Tobacco) Act 1991 - prohibition of the supply of tobacco products to persons under 18. Following recent surveillance collating evidence of under-age sales of cigarettes, application for permission to send volunteers in shop alone with a covert camera	Successful prosecution of the seller.
26 July 2011	ENF – 005	Potentially fraudulent claim – personal injury – use of public space CCTV during a specified period to assist in the assessment of the veracity of the claim. No evidence obtained.	Authorisation cancelled.
11 th January 2012	TSL/DS/030	Authorisation sought to enable video recording of a test purchase of alcohol under the Licensing Act 2003 (under-age sales).	No sale made application cancelled as trader has demonstrated that is now complying with the law.

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<p>13th February 2012</p>	<p>TSL/DS/031</p>	<p>Authorisation sought to enable video recording of a test purchase of cigarettes made under the Children and Young Persons Act 1933 and The Children and Young Persons (Protection from Tobacco) Act 1991 (under-age sales)</p>	<p>Successful prosecution of trader following two under-age sales of cigarettes. Formal Caution of seller and guilty plea entered by the owner of the premises.</p>
<p>16th March 2012</p>	<p>TSL/DS/032</p>	<p>Authorisation sought to enable video recording of a test purchase of alcohol under the Licensing Act 2003 (under-age sales)</p>	<p>Formal Caution to the seller of alcohol and written warning to premise licence holder</p>
<p>16th March 2012</p>	<p>TSL/DS/033</p>	<p>Authorisation sought to enable video recording of a test purchase of alcohol under the Licensing Act 2003 (under-age sales).</p>	<p>Three test purchase attempts carried out all with no sales to minors T found to be complying to conditions placed on the licence during the previous review, application now closed with no further action.</p>