

## CABINET ITEM COVERING SHEET PROFORMA

### AGENDA ITEM

#### REPORT TO CABINET

20<sup>TH</sup> JANUARY 2011

#### REPORT OF CORPORATE MANAGEMENT TEAM

## CABINET DECISION

**Adult Services & Health– Lead Cabinet Member – Councillor Beall**

### ADULT SOCIAL CARE ANNUAL PERFORMANCE ASSESSMENT 2009-10

1. Summary

The attached letter and report from the Care Quality Commission (CQC) summarise the findings of the 2009-10 annual performance assessment for Stockton-on-Tees Council. The performance grades for the Council are:

Overall grade for delivery of outcomes	Performing well.
Improved health and well-being	Performing well.
Improved quality of life	Performing well.
Making a positive contribution	Performing excellently.
Increased choice and control	Performing well.
Freedom from discrimination and harassment	Performing well.
Economic well-being	Performing well.
Maintaining personal dignity and respect	Performing well.

2. Recommendations

Cabinet are recommended to receive the report and note the outcome of the 2009-10 annual performance assessment.

3. Reasons for the Recommendations/Decision(s)

The Director of Adult Social Services is expected to bring this report to an open meeting of the relevant executive committee of the council by 31<sup>st</sup> January 2011 and to inform CQC of the date this will take place.

4. Members' Interests

Members (including co-opted Members with voting rights) should consider whether they have a personal interest in the item as defined in the Council's code of conduct (**paragraph 8**) and, if so, declare the existence and nature of that interest in accordance with paragraph 9 of the code.

Where a Member regards him/herself as having a personal interest in the item, he/she must then consider whether that interest is one which a member of the public, with knowledge of the relevant facts, would reasonably regard as so significant that it is likely to prejudice the Member's judgement of the public interest (**paragraphs 10 and 11 of the code of conduct**).

A Member with a prejudicial interest in any matter must withdraw from the room where the meeting considering the business is being held -

- in a case where the Member is attending a meeting (including a meeting of a select committee) but only for the purpose of making representations, answering questions or giving evidence, provided the public are also allowed to attend the meeting for the same purpose whether under statutory right or otherwise, immediately after making representations, answering questions or giving evidence as the case may be;
- in any other case, whenever it becomes apparent that the business is being considered at the meeting;

and must not exercise executive functions in relation to the matter and not seek improperly to influence the decision about the matter (**paragraph 12 of the Code**).

**Further to the above, it should be noted that any Member attending a meeting of Cabinet, Select Committee etc; whether or not they are a Member of the Cabinet or Select Committee concerned, must declare any personal interest which they have in the business being considered at the meeting (unless the interest arises solely from the Member's membership of, or position of control or management on any other body to which the Member was appointed or nominated by the Council, or on any other body exercising functions of a public nature, when the interest only needs to be declared if and when the Member speaks on the matter), and if their interest is prejudicial, they must also leave the meeting room, subject to and in accordance with the provisions referred to above.**

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**REPORT TO CABINET**

**20<sup>TH</sup> JANUARY 2011**

**REPORT OF CORPORATE  
MANAGEMENT TEAM**

**CABINET DECISION**

**ADULT SOCIAL CARE ANNUAL PERFORMANCE ASSESSMENT 2009-10**

**SUMMARY**

The attached letter and report from the Care Quality Commission (CQC) summarise the findings of the 2009-10 annual performance assessment for Stockton-on-Tees Council. The performance grades for the Council are:

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**RECOMMENDATION**

Cabinet are recommended to receive the report and note the outcome of the 2009-10 annual performance assessment (APA).

**DETAIL**

1. The APA of social care services for adults is carried out by the CQC. The 09-10 assessment has been informed substantially by the Adult Social Care Service Inspection undertaken by CQC in July 2010. The assessment also takes account of a range of other evidence, including self-assessment by the authority, performance indicators, other related service inspections, and routine business meetings between CQC and the Council.
2. The attached letter and report confirm the outcome of the annual assessment of performance for the 2009-10 period. They were published on 25<sup>th</sup> November 2010 and must be reported to an open meeting of the relevant executive committee of the council by 31<sup>st</sup> January 2011.
3. The gradings are based on the definitions set out in the attached letter from CQC. The overall 'performing well' grading is the same as that achieved in 2009. This overall grade for performance is combined from an assessment of leadership and commissioning, along with separate grades for the seven key outcomes of the national performance framework.
4. We are graded 'performing well' in six of the outcomes, and have continued to be graded as 'excellent' for the 'Making A Positive Contribution' outcome. In line with the findings of the service inspection of July 2010, which included a focus on Choice & Control for older people, our grading this year for 'Increased Choice & Control' has improved from 'performing adequately' in the previous annual assessment to 'performing well'.

5. The APA report identifies many other strengths, alongside some key areas for improvement. The report is helpful in supporting the Council's own assessment of its performance and in contributing to the review of strategic plans and service improvement plans, to ensure the necessary actions are in place to build on strengths and respond to areas for improvement.
6. As part of the government's review of the regulation and assessment of local public services, it has been confirmed that there will not be an annual assessment of this type conducted by CQC for 2010-11 onwards. This change reflects a shift towards more sector-led assessment, with councils holding greater responsibility for driving improvement. The future role of CQC in monitoring Council performance is being reviewed and has yet to be confirmed.
7. The Council's progress in delivery and improvement of Adult Social Care services will continue to be monitored as part of the corporate performance framework, with quarterly reports to Cabinet highlighting key achievements and areas for development.

### **FINANCIAL IMPLICATIONS**

8. There are no financial implications arising directly from this report. Resource issues to address areas for improvement will be managed through the annual cycle of service planning and review of the medium term financial plan.

### **LEGAL IMPLICATIONS**

9. There are no legal implications arising directly from this report.

### **RISK ASSESSMENT**

10. The reporting of the APA outcome is categorised as low to medium risk. Existing management systems and daily routine activities are sufficient to control and reduce risk.

### **COMMUNITY STRATEGY IMPLICATIONS**

11. The performance rating for Adult Social Care Services impacts across the core theme of 'Health and Wellbeing' and the supporting theme of 'Older Adults' within the Community Strategy. Addressing the key areas for improvement identified in the APA report will support progress in achievement of the key ambitions for these themes.

### **CONSULTATION INCLUDING WARD/COUNCILLORS**

12. Consultation was not relevant in relation to this report.

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#### Background Papers

- CQC letter of 4<sup>th</sup> October 2010: Assessment of Commissioning for Stockton-on-Tees Council 2009/10: Results.
- CQC report (issued with the above letter): Assessment of Performance Report 2009/2010.

#### Ward(s) and Ward Councillors:

The 2009 APA report is in the public domain and is available to all Councillors for information.

#### Property

The report does not have implications in relation to the Council's property.